FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. COS94041C1	SERIAL NO. NOT ASSIGNED
LIST OF DOCUMENTS CITED BY APPLICANT *(Use several sheets if necessary)*		APPLICANT(S): Gavan et al.	
		FILING DATE July 24, 2003	GROUP NOT ASSIGNED

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CA		4,002,848	01/1997	Stein			
		4,154,983	05/1979	Pedersen			
		4,464,543	08/1984	Kline et al.			
		4,805,209	02/1989	Baker, Jr. et al.			
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							YES	NO
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CA		Curet, O., The application of case-based reasoning to assist accountant in identifying top management fraud: a study of the problem domain and the methodological issues in the development, implementation and evaluation of a case-based learning and reasoning tool, Case Based Reasoning: Prospects for Applications, IEE Colloquium on, 1995, Pages: 8/1-8/4
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CA		Fawett, T., "Adaptive Fraud Detection", Journal of Data Mining and Knowledge Discovery, Copyright 1997, Kluwer Academic Publishers, Norwell, MA, Vol. 1 1997, pp. 291-316

EXAMINER /Charles Agwumezie/	DATE CONSIDERED 12/04/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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							YES	NO

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		Sternberg, M.; Reynolds, R.G., Using cultural algorithms to support re-engineering of rule-based expert systems in dynamic performance environments: a case study in fraud detection, Evolutionary Computation, IEEE Transactions on, Volume: 14, Nov. 1997, Pages: 225-243
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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	System, Method and Computer Program Product for Processing Event Records

Application Number :   10/627033

Confirmation Number: 3709

First Named Applicant: John Gavan

Attorney Docket Number: COS94041C1

Art Unit:

Examiner:

Search string: (6601048 or 6732082).pn

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
CA	1	6601048	2003-07-29	Gavan et al.			
CA	2	6732082	2004-05-04	Gavan et al.			

Signature

Examiner Name	Date
/Charles Agwumezie/	12/04/2006